

Number: PL-198

Date: November 29, 1999

From: NANPA

Subject: Extraordinary NPA-Specific Jeopardy Conservation Procedures Announced for 412 NPA (Pennsylvania)

NANPA CO Code Administration advises that because NXX code demand has increased beyond industry forecast, the 412 NPA is in jeopardy of exhausting prior to when relief can be provided. According to the *Central Office Code (NXX) Assignment Guidelines* (INC 95-0407-008, revision 8/99), "A jeopardy condition exists when the forecasted and/or actual demand for NXX resources will exceed the known supply during the planning/implementation interval for relief." The purpose of this notice is to announce that *extraordinary NPA-specific conservation* measures as defined in Section 8.5 of the *Central Office Code (NXX) Assignment Guidelines* have become necessary for the 412 NPA.

All remaining codes in 412 NPA will be rationed through jeopardy procedures adopted via industry consensus on October 27, 1999, until relief is provided in accordance with an approved NPA relief plan. The attached documents, (*Extraordinary Jeopardy Procedures and Emergency / Imminent Exhaust Assignment of CO Codes*), describe the allocation and lottery process.

Questions concerning the relief of the 412 NPA should be directed to Wayne Milby, Senior NPA Relief Planner, at 804-795-5919. Questions concerning the extraordinary NPA-specific conservation procedures should be directed to George Light, Sr. Code Administrator, at 925-363-8787.

Ron Conners
NANPA

412 NPA (Pennsylvania) Extraordinary Jeopardy Procedures

NANPA CO Code Administration has declared this NPA to be in a jeopardy situation. Therefore, Extraordinary Jeopardy Procedures have been invoked and codes will be rationed as described below.

These procedures will be suspended and assignment of codes will be discontinued when all of the available codes have been assigned or the number of codes allocated priority numbers equals the number of available codes. Otherwise, these procedures will remain in effect until relief has been implemented or until there is industry consensus to reconvene when national procedures are established.

TABLE A		
Key Dates and Requirements		
1.	Month in which extraordinary jeopardy procedures will first be applied	Interim allocation began 10/01/99 These Extraordinary Jeopardy Procedures are effective 12/01/99 .
2.	Minimum quantity of codes available for assignment (“base allocation”):	6 NXXs assigned per month (See Note 1)
3.	Maximum requests that may be submitted per month (“monthly submissions”):	3 requests allowed per OCN/Entity (See Note 2 & 3)
4.	First day and time code requests will be accepted (“submission start date”):	Part 1 received no earlier than... 1st business day, 12:01a.m. , PDT
5.	Last day and time code requests will be accepted (“submission deadline”):	Part 1 received no later than... 5th business day, 11:59 p.m. , PDT
6.	<i>Part 1 code requests to be faxed to NANPA CO Code Administrator:</i>	Shirley Gatlin Fax: (925) 363-8777 Phone: (925) 363-8743
7.	Requirements for participating in monthly allocation process:	Each request must meet all “Eligibility Requirements (See Note 4 & 5)
8.	When and how applicants will be notified of the disposition of their code request(s):	Part 3 issued on or before the end of the 10 th business day 5:00 PM (Pacific Time) after the submission deadline
9.	Process that will be used to allocate available codes:	Monthly allocation process is identified on Table B & Table C.
10.	Code effective date for requests receiving an NXX assignment:	Effective date no less than 52 calendar days after NXX assigned (See Note 6)

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Table A Notes:

- 1) Any part of a base allocation that is not assigned in an allocation month will carryover for assignment in the following month.
- 2) NXX codes will not be reserved; code reservation requests will be denied.
- 3) Requests are to be specified as 1st, 2nd up to 3rd-choice; additional requests will be denied.
- 4) A code request must meet all **Eligibility Requirements** by the monthly “submission deadline” in order to be eligible to participate in that month’s code allocation process.
 - a) The Part 1 code request data must be complete and accurate.
 - b) The specified OCN (Operating Company Number) must be validly assigned to the applicant. Carriers may apply for codes under one OCN per industry segment.
 - c) The code applicant must be certified to provide service in the requested NPA/rate center.
 - d) The requested effective date may be no more than 6 months after the “submission deadline.”
 - e) Requests for a “growth” code must include the Months to Exhaust (“MTE”) Worksheet.
 - f) **Each OCN submitting a code request must have a current jeopardy COCUS on file with NANPA.**
- 5) If the number of unassigned codes, less the priority assignments and the overlay set aside codes, reaches 36 or less, the criteria for code assignment will revert to the process for Emergency/Imminent Exhaust. NANPA will notify the industry when the Emergency/Imminent Exhaust criteria takes effect.
- 6) The Part 3 code effective date will reflect no less than 52 calendar days from the date on which the lottery is held (7 calendar days for AOCN processing and 45 calendar days for industry notification). 14 calendar days are for the CO Code processing time, which comprises the 66 days. Requests for expedited code activation will be only allowed if they meet Central Office Code Transition Task Force (COCTTF) Guidelines (A current copy of the COCTTF guidelines can be obtained at - www.nanpa.com).

**412 NPA (Pennsylvania)
Extraordinary Jeopardy Procedures**

Table B Code Allocation Process Eligible Requests Equal to, or Less Than Available Codes		
When the total number of <u>Eligible Requests</u> received by the submission deadline is...	Then available codes will be allocated each month in the following manner...	And the effect upon the subsequent month(s) allocation will be...
<i>Equal To</i> the total number of codes available for assignment that month	Each request receives an NXX assignment	No effect; each available code will be assigned
<i>Less Than</i> the total number of codes available for assignment that month	Each request receives an NXX assignment	Remaining quantity of codes will carryover to the following month

**412 NPA (Pennsylvania)
Extraordinary Jeopardy Procedures**

Table C Code Allocation Process Eligible Requests Greater Than Available Codes				
When <u>Eligible Requests are Greater Than</u> the number of available codes...	The following restrictions will apply...	Codes will be allocated in this manner...	And the effect on the following month will be...	
<i>If the total number of OCNs submitting eligible requests is...</i>	<i>Equal To</i> codes available for assignment that month	2 nd - and 3 rd -choice code requests will be denied	Each OCN receives one NXX assigned to their 1 st -choice request	No effect; each available code will be assigned
	<i>Less Than</i> codes available for assignment that month	“NA”	<ul style="list-style-type: none"> a) Each OCN receives at least one NXX assigned to their 1st-choice request b) Lottery will be used to determine which 2nd choice OCN requests receive the remaining code(s) and, if applicable, 3rd choice requests. 	Any unassigned code quantity will carryover to the following month
	<i>Greater Than</i> codes available for assignment that month	2 nd - and 3 rd -choice code requests will be denied	<ul style="list-style-type: none"> a) Some OCNs will receive an NXX; others will not b) Lottery will determine which OCNs receive a code assignment. 	The remaining OCNs without a code assignment will receive a priority number assigned by a lottery to determine the order in which they will receive their one code in the subsequent month. Future code applications by OCN in subsequent months will be processed after priority code OCNs have been given their one code.

412 NPA (PENNSYLVANIA)
EMERGENCY/IMMINENT EXHAUST ASSIGNMENT OF CO CODES

Return of Codes

1. Any codes assigned prior to implementation of these procedures and not activated within six months of the assignment date must be returned to the pool. Any codes assigned after the implementation of these procedures must be activated within three months, unless technical difficulties exist beyond the carrier's control. In the event of difficulties, this carrier will notify NANPA in writing on or before 90 days documenting an anticipated activation date..
2. The current code allocations, if any, will be reviewed to determine codes assigned to carriers under multiple OCNs. Any carrier currently holding multiple priority numbers issued in any one month as a result of requests under multiple OCN's will relinquish all but one of the code allocations.
3. NANPA CO Code Administration will begin reclamation procedures on any codes assigned more than six months before the implementation of these procedures and not yet activated. NANPA will continue reclamation based on a three-month activation period until relief is implemented.
4. Any codes returned will revert to the pool from which they were assigned.

Emergency Pool

An emergency pool of 15 codes will be established and assigned on a first come first served basis. A carrier meeting the imminent exhaust assignment criteria identified below will be allotted a code from this pool. Carriers may request codes under only one OCN per industry segment. Requests can be submitted to NANPA CO Code Administration beginning at 8:00AM (Pacific Time)/11:00AM (Eastern Time) on the first business day of the month following implementation of these procedures.

Imminent Exhaust Assignment Criteria

A. Growth Codes

1. A carrier must supply to NANPA a Months-to-Exhaust form demonstrating, by switch or by rate center, number exhaust within three months.
2. The carrier must also supply to NANPA six months of historic utilization data and six months forecast data to support the exhaust projections. If the average projected monthly demand is within 15% of the average historical monthly utilization, a code will be assigned. If the demand exceeds 15% of the utilization, the carrier must explain the deviation prior to code assignment.
3. The carrier must review all numbers in their reserved status to insure that it only retains those numbers for which the carrier has a legally enforceable written agreement..
4. The carrier must have reduced its aging period to 30 days unless a longer period is required by state regulation or a contractual agreement.

B. Initial Code in a Rate Center

1. A carrier must supply to NANPA documentation, by switch or by rate center, of a bona fide request to provide service within three months.
2. The carrier must also supply to NANPA documentation that within three months, they will be interconnected and have sufficient operable facilities in the switch/rate center requested.

Assignment of Remaining Codes

The codes remaining to be assigned after the establishment of the emergency pool, will be assigned at the rationed rate, using the established lottery/priority number process to those carriers meeting the extreme exhaust criteria listed below . NANPA will immediately notify carriers holding priority numbers that in order to retain their priority number they must provide the documentation required in the extreme exhaust assignment criteria. Assignments will be discontinued and the lottery process suspended when all of the available codes have been assigned or the number of codes allocated priority numbers equals the number of available codes .

Extreme Exhaust Assignment Criteria

A. Growth Codes

1. A carrier must supply to NANPA a Months-to-Exhaust form demonstrating, by switch or by rate center, number exhaust within four months.
2. The carrier must also supply to NANPA six months of historic utilization data and six months forecast data to support the exhaust projections. If the average projected monthly demand is within 15% of the average historical monthly utilization, a code will be assigned. If the demand exceeds 15% of the utilization, the carrier must explain the deviation prior to code assignment.
3. The carrier must review all numbers in their reserved status to insure that it retains only numbers for which the carrier has a legally enforceable contract..
4. The carrier must have reduced its aging period to 30 days unless a longer period is required by state regulation or a contractual agreement.

B. Initial Codes in a Rate Center

1. A carrier must supply to NANPA documentation, by switch or by rate center, of a bona fide request to provide service within four months.
2. The carrier must also supply to NANPA documentation that within four months, it will be interconnected and have sufficient operable facilities in the switch/rate center requested.

Suspension/Denial of a Code Application (Imminent or Extreme Exhaust, Growth or Initial)

1. If NANPA determines that the documentation submitted by an applicant does not meet the established criteria, NANPA should suspend the application and allow the applicant two weeks to resubmit its documentation.
2. If the documentation is not resubmitted or NANPA determines that the documentation still does not meet the established criteria, the code will be denied.