

## NORTH AMERICAN NUMBERING PLAN PLANNING LETTER

Number: PL-NANP-162

Date: February 23, 1999

From: R.C. Breidenbaugh –NANP Administration  
202-756-5779, rose.breidenbaugh@nanpa.com

Subject: Extraordinary NPA-Specific Jeopardy Conservation Procedures Announced for 716 NPA (New York)

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NANPA CO Code Administration advises that the 716 NPA has number demand that has increased beyond normal forecasts and is in jeopardy of exhausting prior to when relief can be provided. According to the *Central Office Code (NXX) Assignment Guidelines* (document INC 95-0407-008, revision September 18, 1998), “ A jeopardy condition exists when the forecasted and/or actual demand for NXX resources will exceed the known supply during the planning/implementation interval for relief.” The purpose of this announcement is to provide the extraordinary NPA-specific conservation measures as defined in Section 9.5 of the *Central Office Code (NXX) Assignment Guidelines* which have become necessary for the 716 NPA.

All remaining codes in the 716 NPA will be rationed through the New York NXX Code lottery process established January 22, 1999, until relief is provided in accordance with the respective NPA relief plan. The attached document, **716 NPA Jeopardy Procedures**, describes the lottery process.

Per the *Central Office Code (NXX) Assignment Guidelines* (document INC 95-0407-008, revision September 18, 1998) Section 9.4 B:

“Upon the receipt of the notice of the jeopardy situation from the Code Administrator, each code holder *must* review their forecast and demand data and provide the information to the Code Administrator within 30 days using the ‘Jeopardy COCUS’ form (Appendix E).”

Questions concerning the relief of the 716 NPA should be directed to Wayne Milby, Senior NPA Relief Planner, at 804-795-5919. Questions concerning the extraordinary NPA-specific conservation procedures should be directed to Cecilia Louie, NANPA CO Code Administrator, at 925-363-8708.

Questions concerning the contents of this letter may be referred to Rose Breidenbaugh, on 202-756-5779.

R.C. Breidenbaugh  
North American Numbering Plan Administration

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(New York)  
Final Jeopardy Procedures  
Extraordinary Code Conservation  
Measures**

**1/22/99**

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**Introduction**

These jeopardy procedures for Extraordinary Code Conservation Measures were reached by industry consensus on January 22, 1999 via a conference call.

Questions regarding the content of this plan may be directed to either:

Florence Weber, Code Administrator  
NANPA CO Code Administration  
925-363-8708 (voice)  
925-363-8743 (fax)  
[florence.weber@nanpa.com](mailto:florence.weber@nanpa.com)

Or

Wayne Milby  
NPA Relief Planner  
804-795-5919 (voice)  
804-795-5514 (fax)  
[wayne.milby@nanpa.com](mailto:wayne.milby@nanpa.com)

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**1) Summary of Key Dates**

<b>Table A</b>	
<b>Action/Activity</b>	<b>Key Dates</b>
<b><i>Code Rationing Period (Assumption of 25 Months)</i></b>	
<ul style="list-style-type: none"> <li>• Jeopardy declared on Nov.4, 1998. Interim procedures start Nov. 4, 1998</li> </ul>	Dec.14,1998 1 <sup>st</sup> Interim lottery
<ul style="list-style-type: none"> <li>• <b>Code Rationing Begins (date of 1<sup>st</sup> lottery using these procedures)</b></li> </ul>	March 12, 1999
<ul style="list-style-type: none"> <li>• <b>Monthly Submission Deadline</b> (for Part 1 code requests)</li> </ul>	5 <sup>th</sup> business day
<ul style="list-style-type: none"> <li>• Monthly Code Allocation Day (if lottery required)</li> </ul>	10 <sup>th</sup> business day
<ul style="list-style-type: none"> <li>• <b>LAST LOTTERY DATE (BASED ON 25 MONTHS TO START OF MANDATORY, FROM DEC. 1, 1998)</b></li> </ul>	25 months (10 <sup>th</sup> Business day)
<b><i>NXX Code Requests (Geographic Split Only)</i></b>	
<ul style="list-style-type: none"> <li>• <b>Earliest “Reassigned NXX Effective Date,”</b> if the NXX is already assigned in the “other” NPA is...</li> </ul>	3 months following the end of permissive dialing period
<ul style="list-style-type: none"> <li>• Codes scheduled to open during the informative announcement period can be reassigned :</li> </ul>	day after end of permissive period
<b><i>Assigned NXXs codes in New Overlay NPA</i></b>	
<b>Earliest an NXX code can be assigned in the overlay NPA</b>	1 month following mandatory 10 digit dialing date
<b><i>Non-Jeopardy Code Requests</i></b>	
<ul style="list-style-type: none"> <li>• Earliest Date to Submit Requests for Reservation of Codes</li> </ul>	3 months prior to end of permissive
<ul style="list-style-type: none"> <li>• <b>Earliest Effective Date NOT SUBJECT to Jeopardy Procedures</b></li> </ul>	3 months after end of permissive*
<ul style="list-style-type: none"> <li>• <b>Earliest Date to Submit Non-Jeopardy Procedure Requests</b></li> </ul>	3 months prior to end of permissive
<ul style="list-style-type: none"> <li>• Last Day of Permissive Dialing</li> </ul>	*

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\* Actual date will be based on the New York State Public Service Commission decision or Industry Consensus.

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**Code Rationing Period**

- a) ***Beginning March 12, 1999, CO Code (NXX) assignments for the 716 NPA will be rationed under these procedures.***
- b) ***Rationing of codes will continue through the 10<sup>th</sup> business day of month that permissive dialing ends or until such time all available codes are depleted.***
- c) ***Codes rationed during this period will be those that have a requested effective date earlier than 90 days after permissive dialing ends.***

**2) Number of Codes That May Be Assigned**

- a) ***A base quantity of 3 NXXs may be assigned each month from March 12, 1999 through 10<sup>th</sup> business day of month that permissive dialing ends.***
  - i) This is the monthly “code allotment.”
  - ii) This code allotment is derived by dividing the number of codes available\*\* for assignment at the start of the code-rationing period by the number of months remaining until the end of the code-rationing period as defined above.
- b) In the event that NXXs are recovered during the code-rationing period, then the monthly allotment will be recalculated to reflect this larger number of available codes.
  - i) The CO Code Administrator may adjust the monthly allocation as a result of code recovery without seeking industry consensus.
  - ii) Notice of any recalculated monthly allotment will be posted on the NANPA Web site (under “Bulletin Board”/“Jeopardy Information”).
  - iii) Recalculated allotments will be available for assignment the month after the Web notice is posted.
  - iv) The Code Administrator will send an E-mail notice to stakeholders on file advising that the number of monthly codes has been revised/check the NANPA Web site for details.
- c) If the code allotment for any given month is not fully assigned, the unassigned quantity will “carryover” and will be added to the following month’s code allotment. (The monthly code allotment calculation is not affected by carryover quantities.)
- d) There will be only one “pool” from which all code assignments will be made.
  - i) There will be no distinction as to whether an applicant is an existing service provider or a new market entrant.
  - ii) There will be no distinction as to whether a request is for an initial code, growth code or “new application” of a code

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\*\* this includes setting aside 30 NXXs (codes) for new service providers in the event an overlay is ordered.

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**3) Inclusion in Monthly Rationing Process**

- a) *Requests received by the submission deadline in any given month will be included in that month's rationing process provided that all "Eligibility Requirements" have been met by that date.*
- b) Requests received after the submission deadline in any given month will be included in the following month's rationing process provided that all "Eligibility Requirements" have been are met by that next month's submission deadline.
- c) If a request is suspended, but required data/supporting documentation is received by the submission deadline of any given month, the request will be included in that month's code rationing process.
- d) If a request is suspended and required data/supporting documentation is not received by the submission deadline, the request will be ineligible for participation in any monthly rationing process/lottery until all eligibility requirements have been met.

**4) Number of New Requests That May Be Submitted**

*An applicant may submit no more than three new Code Assignment Requests per month*

- a) Requests for more than one code are to be identified by the applicant as 1<sup>st</sup> choice, 2<sup>nd</sup> choice and, if applicable, "3rd" choice.
- b) For these jeopardy procedures, "applicant" is defined as an entity with a valid, NECA-assigned "OCN" (Operating Company Number/Company Code).
- c) If an OCN submits more than "3" new requests by the submission deadline for any given month, only the first "3" requests received will be accepted; the others will be denied.

**5) Deadline for Submission of Requests**

- a) *Requests must be received no later than 5:00 p.m., Pacific Time, on the 5th business day of the month starting March 12, 1999 through the 10<sup>th</sup> business day of month that permissive dialing ends*
- b) Requests may be submitted anytime from the 6th business day of the previous calendar month to the submission deadline of the current month.
- c) Requests are to be delivered to the NANPA CO Code Administrator by facsimile, E-mail or messenger (e.g., FedEx, Airborne, etc.) as specified below:



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<b>Table B</b> <b>716 NPA Code Requests</b>	
<b>For Fax or E-mail Delivery:</b>	Florence Weber CO Code Administrator <b>925-363-8743 (fax)</b> <a href="mailto:Florence.weber@nanpa.com">Florence.weber@nanpa.com</a> “cc” e-mail requests to: <a href="mailto:paula.hustead@nanpa.com">paula.hustead@nanpa.com</a>
<b>Address for Messenger Delivery:</b>	NANPA CO Code Administration 1800 Sutter Street, Suite 570 Concord, CA 94520 Attn: Florence Weber 925-363-8708(voice)
Address for Express Mail  For Fax	State of New York Communications Division 3 Empire State Plaza Albany, New York 12223 518-474-5616 (fax)

**A copy of the code request along with Appendix B (Central Office Code Guidelines) or its equivalent must be forwarded to the Commission staff (either by fax or express mail) simultaneous with submission to the code administrator. Companies/service providers are free to submit additional justification to the Commission in support of their applications.**

**Note: If your part 1 code request and Appendix B is not submitted to the Commission, your code request will be denied.**

#### **6) Requested Effective Date**

- a) *The requested effective date must be at least 66 calendar days after the submission deadline.*
  - i) Requests with an earlier requested effective date will be denied.

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- ii) This is the industry-standard code activation timeline.<sup>1</sup>
- b) *The requested effective date may not be more than 6 months after the submission deadline.*
  - i) Requests with a later requested effective date will be denied.
  - ii) This is the industry-standard code maximum ordering interval.<sup>2</sup>
- c) *If a requested effective date is on or after (90 days after the end of permissive dialing), the request will not be subject to these jeopardy procedures.*

## 7) Reservation of Codes

- a) *NANPA will not accept requests for code reservation prior to the end of permissive dialing*
  - i) Requests to reserve a code that are received prior to this date will be denied.
  - ii) If it becomes necessary to extend/continue jeopardy for the 716 NPA for any reason, code reservation requests will be denied for that extended period of time.
- b) *For NXXs that were reserved for telecommunications service providers prior to the start of code rationing, the following requirements apply:*
  - i) If the NXX is reserved either for technical reasons or because the applicant is in the process of obtaining regulatory approval of a tariff and or certification/authorization...
    - (1) The code may remain reserved if the scheduled expiration is after the end of permissive dialing
    - (2) Reservations scheduled to expire before the end of permissive dialing must be converted from “reserved” to “assigned” status (via the Part 1 request) before the expiration date.
  - ii) If the NXX is reserved for other than regulatory action or technical reasons...
    - (1) By January 1, 1999, the code applicant must either return the NXX or submit a formal assignment request.
    - (2) A Part 1 is to be sent to the NANPA CO Code Administrator specifying which alternative the code applicant has chosen for the reserved NXX (return or assign).
    - (3) Codes that are converted from reserved to assigned status must carry an effective date no later than July 1, 1999.
  - iii) The NANPA Code Administrator will initiate reclamation procedures for any reserved code which does not comply with these procedures

<sup>1</sup> Central Office Code (NXX) Assignment Guidelines, (INC 95-0407-008).

<sup>2</sup> Central Office Code (NXX) Assignment Guidelines, (INC 95-0407-008).

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**8) Eligibility Requirements**

*a) The requested effective date on the Part 1 must fall within the allowable timeframe.*

(See “Requested Effective Date.”)

*b) A valid NPA/Rate Center association must be specified on the Part 1 Code Request.*

- i) The specified rate center must physically reside in the specific NPA as of the requested effective date.
- ii) If the requested effective date falls within or after the permissive dialing period and the rate center is moving to the new NPA, then the new NPA must be specified.

*c) A valid entity name/OCN association must be specified on the Part 1 Code Request.*

- i) This association must match National Exchange Carrier Association (NECA) assignments as reflected in the Bellcore Routing Data Base System (RDBS).
- ii) If the applicant is “doing business as” (*d.b.a.*) another company, the NANPA CO Code Administrator must be given a memo specifying all affected entity names and OCNs.
- iii) OCN/entity name must be valid/explained by the code request submission deadline.

*d) The applicant OCN must be authorized to provide service in the jeopardy NPA.*

- i) The *type of authorization* (CPCN #, tariff # or FCC license #) must be specified.
- ii) The *type of entity* requesting the service (franchised local carrier, competitive local exchange service carrier, CMRS, etc.) must be specified.
- iii) The *type of service* to be provided by the requested code (end office, paging, cellular, PCS, etc.) must be specified.

*e) A jeopardy COCUS must be submitted to the Code Administrator within 30 days of the jeopardy being declared. A jeopardy COCUS must be submitted in order to be eligible to receive an NXX in this NPA.*

*f) A Months to Exhaust form must be filled out and submitted to the Code Administrator with every growth code request for this NPA. Not required for initial code in a rate center.*

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**9) Reassignment of NXXs**

- a) *NXXs staying in the “old” NPA will be available for reassignment to Rate Centers moving to the “new” NPA as indicated below:*

<b>Table C</b> <b>NXXs Already Assigned</b> <b>to Rate Centers Staying in the “Old” NPA</b>		
<b>If the NXX in the old NPA was working or scheduled to become effective**...</b>	<b>Then the effective date for the NXX reassignment may be no earlier than...</b>	<b>And the applicant may submit a request to reassign the NXX in a “new” NPA Rate Center...</b>
Prior to the Start of Permissive Dialing	90 days after the End of Permissive Dialing (Note 1)	No less than 66 calendar days and no more than 6 months prior to the requested NXX effective date (Note 2)
During the Permissive Dialing Period		
After the End of Permissive Dialing	Day after the End of Permissive Dialing	See the “Key Dates” Table at the beginning of these procedures for details

\*\*Per Part 3 issued by Code Administrator

- b) *NXXs moving to the “new” NPA will be available for reassignment to Rate Centers staying in the “old” NPA as indicated below:*

<b>Table D</b> <b>NXXs Already Assigned</b> <b>to Rate Centers Moving to the “New” NPA</b>		
<b>If the NXX in the new NPA was working or scheduled to become effective**...</b>	<b>Then the effective date for the NXX reassignment may be no earlier than...</b>	<b>And the applicant may submit a request to reassign the NXX in a “new” NPA Rate Center...</b>
Prior to the Start of Permissive Dialing	90 days after the End of Permissive Dialing (Note 3)	No less than 66 calendar days and no more than 6 months prior to the requested NXX effective date (Note 2)
During the Permissive Dialing Period		
After the End of Permissive Dialing	Day after the End of Permissive Dialing	See the “Key Dates” Table at the beginning of these procedures for details

\*\* Per Part 3 issued by Code Administrator

**Note 1:** Requests for reassignment of a specific NXX to a Rate Center in the new NPA will be accepted anytime after 12:01 a.m. , on the date indicated; requests received by the CO Code Administrator before this date and time will be denied.

**Note 2:** Complies with the industry-approved reassignment interval specified in the *NPA Code Relief Planning and Notification Guidelines* (INC 97-0404-016) revised April 1998, Section 7.3

**Note 3:** Requests for reassignment of a specific NXX to a Rate Center in the old NPA will be accepted anytime after 12:01 a.m. , on the date indicated; requests received by the CO Code Administrator before this date and time will be denied.

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**10) Suspension of Requests**

- a) *Part 1 Code Requests that have incomplete or inaccurate data will be suspended.*
- b) *Suspended requests will be ineligible to participate in the monthly code rationing process until complete and accurate data is provided.*
  - i) *The rationing month for which such requests will be eligible will be depend upon whether the required data is received by the submission deadline for that month.*
  - ii) *If the required data is received after the cutoff date for the last lottery, the request will be processed according to normal (non-jeopardy) procedures.*

**11) Denial of Requests**

- a) If an OCN submits more than three (3) new code requests for any given month code-rationing process, the “additional” request(s) will be denied. (See “Number of Requests That May Be Submitted.”)
- b) If the requested effective date is outside the allowable timeframe, the request will be denied. (See “Requested Effective Date.”)
- c) If a request to reserve an NXX code is received before the allowed reservation submission date, the request will be denied. (See “Reservation of Codes.”)
- d) If the code applicant is not authorized/certified to provide service in the state/NPA, the request will be denied. (See “Eligibility Requirements.”)
- e) If a request to reassign a code is received before the allowed reassignment submission date, the request will be denied. (See “Reassignment of NXXs.”)

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## 12) Code Rationing Process

<b>Table E</b>		
<b>Overview of the Code Rationing Process</b>		
<b>(Based on Total Requests)</b>		
<b>Total number of eligible requests received by the submission deadline</b>	<b>Available codes will be rationed in the following manner</b>	<b>Effect upon the following month's code rationing process</b>
<b>Equal To</b> the total number of codes available for assignment that month (Note 1)	Each request receives a code assignment (Note 2)	No effect
<b>Less Than</b> the total number of codes available for assignment that month (Note 1)	Each request receives a code assignment (Note 2)	Remaining quantity of codes will carryover to the following month
<b>Greater Than</b> the total number of codes available for assignment that month (Note 1)	Assignments made according to "Code Allocation Procedure"	Depends on the results of the current month's code allocation procedure

**Note 1:** Total codes available for assignment in any given month is equal to the monthly code allotment plus any carryover from the preceding month(s) rationing process.

**Note 2:** If code allocation procedures are not required, then codes will be assigned by the 10<sup>th</sup> business day after the "submission deadline." (Refer to "Deadline for Submission of Requests" section.)

## 13) Code Rationing Versus Code Allocation

- a) The terms "Code Rationing" and "Code Allocation" as used in these jeopardy procedures both refer to extraordinary code conservation measures in a declared jeopardy NPA(s).
- b) The term "*Code Rationing*" refers to a situation in which there is specified *limit on the number of codes that may be assigned in any given month*.
- c) The term "*Code Allocation*" refers to the *means of determining which code requests will receive a CO code assignment in any given month*. Lottery is one method of allocation.

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**14) Governing Principles for Code Allocation**

- a) *The basic rule for code allocation is as follows:*  
*In any given month, each OCN submitting an eligible request will receive one code before any OCN receives two codes and each OCN submitting eligible requests for more than one code will each receive two codes before any OCN receives three codes.*
- b) *Code allocation will be required whenever:*  
*The total number of eligible requests received by the submission deadline is greater than the total number of codes available for assignment for that rationing month (base allotment plus any preceding month carryover).*
- c) *CO code assignment via code allocation will be based on:*  
*The number of applicants (i.e., OCNs) that have submitted eligible requests.*
- d) *To be eligible for inclusion in any given month's code allocation procedure:*  
*A code assignment request must meet all Eligibility Requirements by that month's submission deadline.*

**15) Allocation/Lottery Date**

- a) *When required, code allocation/lottery will take place on the 10th business day of the month ("code allocation day").*
- b) *Details of the code allocation procedure for each of the four possible scenarios are provided on the following pages.*

**16) Code Allocation Procedure**

- a) *If the number of eligible code requests received by the submission deadline of any given month is greater than the total number of codes available that month, codes will be assigned based on a code allocation procedure. (See Table below.)*
- b) *Code allocation will be based on the total number of OCNs submitting eligible requests, NOT the number of requests.*
- c) *OCNs that do not receive a code will receive a priority number.*  
*i) Priority numbers are tracked each month on a "code waiting list."*  
*ii) A priority number establishes the relative position of an OCN's request on the "code waiting list."*

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- iii) *A priority number entitles an OCN to be given a code before any new requests receive a code assignment.*

<b>Table F</b>				
<b>Overview of Code Allocation Procedure (Based on Number of OCNs)</b>				
<b>Total number of OCNs submitting eligible requests</b>	<b>Is any OCN requesting two or more codes?</b>	<b>This is how codes will be allocated</b>	<b>What happens if an OCN submitted more than one request?</b>	<b>Effect upon next month's rationing</b>
<b>OCNs Equal To available codes (Scenario 1)</b>	Doesn't Matter	Each OCN will receive one code	2 <sup>nd</sup> and 3 <sup>rd</sup> code requests will be denied	No effect
<b>Fewer OCNs than codes</b>	No (Scenario 2)	Each OCN will receive one code	(not applicable)	Unassigned quantity will carryover
	Yes (Scenario 3)	Each OCN will receive one code	See description of Scenario 3 ("Multiple Requests per OCN")	Depends on results of allocation process
<b>More OCNs than available codes (Scenario 4)</b>	Doesn't Matter	Based on Lottery results	See description of Scenario 4 ("Lottery Process")	Depends on lottery results

**17) Code Allocation–Scenario 1: Requests Equal Codes**

- a) This allocation applies whenever the *number of OCNs* submitting eligible requests is *equal to the number of codes available* for assignment in any give month ("code allotment" plus any "carryover")
- b) Each of the applicants (companies/entities/OCNs) will receive one code.
- c) *For applicants submitting more than one request, this assignment will be to the request designated by the applicant as 1<sup>st</sup> choice.*
- d) *Requests designated by any OCN as 2<sup>nd</sup> and 3<sup>rd</sup> choice will be denied.*

**18) Code Allocation–Scenario 2: Fewer Requests Than Codes**



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- a) When the *number of applicants* submitting eligible requests is *less than the number of codes available* for assignment in any give month (“code allotment” plus any “carryover”) *provided that each applicant has requested only one code*:
- b) *Each of the applicants (companies/entities/OCNs) will receive one code.*
- c) *The difference between the total number of codes available for assignment in the current month and the number of codes assigned via lottery will be carried over and added to the subsequent month’s code allotment (“carryover”).*

**19)Code Allocation–Scenario 3: Multiple Requests per OCN**

- a) *This code allocation will be conducted whenever all 3 of the following conditions exist:*
  - i) The total number of eligible requests in any given month is greater than the total number of codes available for assignment in that month.
  - ii) The number of individual OCNs submitting those eligible requests is less than the total number of codes available for assignment.
  - iii) One or more OCNs have eligible requests for more than one code that month.
- b) *Each applicant will receive at least one code.*
  - i) If the applicant has a priority number, the assignment will be to that request.
  - ii) For applicants submitting new requests for more than one code, the assignment will be to the request designated by the applicant as 1<sup>st</sup> choice.)
- c) *Assignment of a second code will be made in the following manner:*
  - i) **If the number of codes remaining is equal to the number of applicants submitting eligible 2<sup>nd</sup> code requests:**
    - (1) Each applicant requesting a second code will receive one for their 2<sup>nd</sup> choice request.
    - (2) All requests for a 3<sup>rd</sup> code assignment will be denied.
  - ii) **If the number of codes remaining is less than the number of applicants submitting eligible 2<sup>nd</sup> code requests:**
    - (1) Requests for a 2<sup>nd</sup> code will be placed into a “second code pool.”
    - (2) Requests drawn at random from the “second code pool” will receive a CO code.
    - (3) The assignment will be to the request designated by the applicant as 2<sup>nd</sup> choice.
    - (4) Requests not drawn from the “second code pool” will be denied.
    - (5) All requests for a 3<sup>rd</sup> code assignment will be denied.
  - iii) **If the number of codes remaining is greater than the number of applicants submitting eligible 2<sup>nd</sup> code requests and there are no requests for a 3<sup>rd</sup> code:**
    - (1) Each applicant requesting a second code will receive an assignment for their 2<sup>nd</sup> choice request.
    - (2) The difference between the total number of codes available for assignment in the month and the number of codes assigned will carryover to the following month.

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- iv) **If the number of codes remaining is greater than the number of applicants submitting eligible 2<sup>nd</sup> code requests, but there are requests for a 3<sup>rd</sup> code:**
- (1) Each applicant requesting a second code will receive an assignment for their 2<sup>nd</sup> choice request.
  - (2) Requests for a 3<sup>rd</sup> code will be placed into a “third code pool.”

- d) **Assignment of a third code will be made in the following manner:**
- i) Requests drawn at random from the “third code pool” will receive a CO code.
  - ii) The assignment will be to the request designated by the applicant as 3<sup>rd</sup> choice.
  - iii) Requests not drawn from the “third code pool” will be denied; they **will not** receive an NXX and **will not** receive a priority code.

**20)Code Allocation–Scenario 4: Lottery**

- a) **A lottery will be conducted whenever both of the following conditions exist:**
- i) The total number of eligible requests in any given month is greater than the total number of codes available for assignment in that month.
  - ii) The number of individual OCNs submitting those eligible requests is also greater than the total number of codes available for assignment.
- b) **OCN requests that carry a priority number will be the first to receive a code assignment in the subsequent month(s).**
- i) Priority number requests will be assigned in priority sequence on the 5th business day of each month as indicated below.
    - (1) If the total quantity of priority requests does not exceed the number of codes available for assignment that month, then all priority numbers will receive a code assignment.
    - (2) If the total quantity of priority requests exceeds the number of codes available for assignment in that month, then codes will be assigned to priority numbers until the monthly code allotment is exhausted;
    - (3) Priority numbers that don’t receive a code assignment in any given month will be carried over for a code assignment on the 2<sup>nd</sup> business day of the following month(s).
  - ii) The lowest numbered priority request will receive a code assignment before the next higher priority number receives a code.
  - iii) If there are insufficient codes available for priority number requests from the preceding month(s), these requests will continue to be held over with their priority number for the subsequent month(s).
  - iv) Any request receiving a priority number in a subsequent rationing month will be added to the bottom of any existing priority list and in the sequence drawn.
  - v) The fact that there are priority numbers have been assigned, and the total number awaiting code assignment, will be posted on the NANPA Web site (“Bulletin Board”/“Jeopardy Information”).

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- c) *The lottery will be conducted as random drawing in two stages as indicated below:*
- i) Requests drawn in stage one will receive a code assignment.
    - (1) These requests will be drawn, one-at-a-time, equal to the total number of codes available for assignment that month.
    - (2) Codes will be assigned in the order in which the request was drawn.
  - ii) Requests drawn in stage two will receive a priority number for the next month's allocation.
    - (1) These requests will be drawn, one-at-a-time, until the remaining number of OCNs with eligible requests has been drawn.
    - (2) Priority numbers will be assigned, low to high, based on the sequence of the draw.  
 (The first OCN drawn receives number "one;" the second drawn receives "two," etc.)

**21)Code Administrator's Responsibilities**

- a) *By the 10th business day after a request is received, provide each applicant with feedback regarding the disposition of their request(s):*
- i) Complete and accurate request – Suspended until the "allocation/lottery date" (See "Allocation/Lottery Date" section.).
  - ii) Incomplete and/or inaccurate request – Suspended from the current month's code rationing process and why.
  - iii) Ineligible request – Denied participation in the current month's code rationing process and why.
- b) *By the 5th business day after the "code allocation/lottery day" (See "Allocation/Lottery Date"), provide each applicant with the final disposition of their request(s):*
- i) CO code assigned, what the NXX code is and what the code effective date is.
  - ii) Priority Number received, what the number is and confirmation of automatic entry into the following month's code rationing process.
  - iii) No code/No priority number received.
- c) *The NANPA Code Administrator will adjust the requested code effective date, if necessary, to ensure the minimum industry notification interval of 45 calendar days.*
- i) For code assignments that do not require code allocation procedures or lottery, the code effective date must be at least 66 calendar days from the "submission deadline."
  - ii) For code assignments made as a result of code allocation procedures or lottery, the code effective date will be no less than 52 calendar days from the "code assignment day."
  - iii) If a request receiving a lottery code assignment already reflects an effective date that is at least 52 calendar days after the code assignment/lottery date, the date won't be changed.
  - iv) The code effective date, changed or as requested, will be reflected on the Part 3 response sent to the code applicant.

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**22) Certification of Code Activation**

- a) Code holders are required to certify that an assigned NXX code has been placed into service within 6 months after the published effective date.*
- b) Certification of code activation is to be provided to the NANPA CO Code Administrator via the Part 4.<sup>3</sup>*
- c) The Code Administrator will initiate code reclamation procedures if the Part 4 is not received within the industry-established timeframe.*

**23) In the event that industry-standard jeopardy procedures are adopted, the 716 NPA Industry team will reconvene. The purpose will be to determine whether these jeopardy procedures will continue to remain in effect or be superceded by the industry-standard.**

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<sup>3</sup> *Central Office Code (NXX) Assignment Guidelines*, INC 95-0407-008, Re-issued September 18, 1998 ; A blank Part 4 is attached.